FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response 0.5

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Impatriculation 1/lex |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Goff Brian | | | | | | 2. Issuer Name and Ticker or Trading Symbol ALEXION PHARMACEUTICALS, INC. [ALXN] | | | | | | | | heck | all application | able) | Perso | on(s) to Issu 10% Ow Other (s | ner |
|---|---|--|---|--|---|---|---------------------------|-------------------------------|--|-----------------------------|----------------------|---|--|---|--|--|-------|--|--|
| (Last) (First) (Middle) C/O ALEXION PHARMACEUTICALS, INC. 121 SEAPORT BOULEVARD | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/21/2021 | | | | | | | | X below) below) EVP & Chief Commercial Officer | | | | | |
| (Street) BOSTON MA 02210 | | | | | 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Indivi ne) X | · | | | | |
| (City) (State) (Zip) | | | | | | tive Securities Acquired, Disposed of, or Benefic | | | | | | | | | Name of | | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | | | saction | n 'ear) | 2A. De Execu if any | 2A. Deemed Execution Date, | | 3. Transaction Code (Instr. | | | ies Acquired (A) or Of (D) (Instr. 3, 4 and | | | s Fori | | : Direct I | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | (WOIIIII/Day/Teal | | | Code | v | Amount | (A) or (D) | | • | Reported | rted saction(s) | | | Instr. 4) |
| Common Stock, par value \$.0001 per share 07/21/2 | | | | | | 2021 | | | D | | 150,832 | 0,832 ⁽¹⁾ D | | 0 | | 0 | | D | |
| | | | Table II - | | | | | | | | osed of, onvertib | | | | wned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | 4. Transaction Code (Instr. 8) | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Do | Price of erivative ecurity 1str. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisab | | Expiration Date | Title | Amour or Number of Shares | er | | | | | |
| Option to Purchase Common Stock | \$100.14 | 07/21/2021 | | | D | | | 21,750 | 06/07/2018 | 3 ⁽²⁾ | 06/07/2027 | Common Stock, par value \$.0001 | 21,75 | 0 5 | \$100.14 | 0 | | D | |

Explanation of Responses:

- 1. Represents shares of Alexion common stock disposed in connection with the Agreement and Plan of Merger (the "Merger Agreement") dated as of December 12, 2020, by and among Alexion and AstraZeneca PLC. In accordance with the Merger Agreement, upon the First Effective Time (as defined in the Merger Agreement), each share of Alexion common stock was converted into the right to receive (i) 2.1423 American Depositary Shares (ADSs) of AstraZeneca PLC and (ii) \$60.00 in cash (the "Merger Consideration").
- 2. Upon the First Effective Time, these options were cancelled and converted into the right to receive the product obtained by multiplying (A) the excess, if any, of the value of the Merger Consideration over the exercise price per share of the Alexion common stock subject to such options immediately prior to the First Effective Time by (B) the number of shares of Alexion common stock subject to such option immediately prior to the First Effective Time by (ii) the value of the Merger Consideration.

Remarks:

/s/ Douglas Barry, Attorney-in-Fact for Brian Goff

per share

07/23/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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